



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#4  
TID.  
06/05/02

In re Application of:

: Atty. Docket: FR920000068US1

Lamberton et al.

:

Serial No.: 10/035,724

: Art Unit: 2152

Filed: November 7, 2001

: Examiner:

For: ENABLING SURVEILLANCE OF NETWORK CONNECTED DEVICES

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

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MAR 14 2002  
Technology Center 2100

Sir:

For insuring compliance with the applicant's duty of disclosure under 37 CFR § 1.56, the undersigned hereby submits the documents listed on the attached Form PTO-1449 for consideration by the Examiner in charge of the above-identified patent application.

The listing of these materials is not to be construed as an admission that such is actually prior art with respect to the invention of the present application and is not to be construed that such is material with respect to the present invention.

These documents are being submitted (check only one of the next four boxes):

- ☐ within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due);
- ☒ before receiving a first Office Action on the merits of the above-identified patent application (no fee is due);
- ☐ following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this Box is checked, the fee box and one of the last two boxes also must be checked);

OR

- ☐ following receipt of a Notice of Allowance or a Final Office Action (if this box is checked, the next box and one of the last two boxes also must be checked).

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FEE

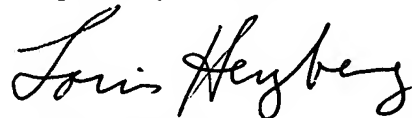
- [ ] Charge our Deposit Account No. 09-0468 the amount of \$180.00, in payment of the fee set forth in 37 CFR § 1.17(p).

CERTIFICATION

- [ ] The undersigned certifies that each item of this information is being submitted within three months of the date it was first cited in any communication from a foreign patent office in a counterpart foreign application.
- [ ] The undersigned certifies that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement.

The Director is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 09-0468. A duplicate copy of this authorization is attached for the Finance Branch.

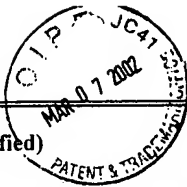
Respectfully submitted,



Louis P. Herzberg  
IBM Corp. IP Law Dept.  
T.J. Watson Research Ctr.  
P.O. Box 218  
Yorktown Heights, NY 10598  
Telephone: 914-945-2885

Date: February 13, 2002

Serial Number: 10/035,724



FORM PTO-1449 (Modified)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)	ATTY. DOCKET NO. FR920000068US1	SERIAL NO. 10/035,724
	APPLICANT: Lamberton et al.	
	FILING DATE: November 7, 2001	GROUP: 2152

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	AA	6,119,105	9/12/00	Williams			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

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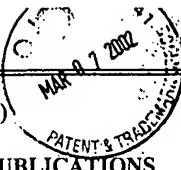
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
	AL						
	AM						
	AN						
	AO						
	AP						

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AQ	Introduction to Computer Security, Course Catalog, Instruction to Computer security.
AR	Computer Security Products, Inc.; Common Theft Solutions High Security Steel Cable Kits.
AS	Computer security Products, Inc.; Phazer Fiberoptics Alarm System.
AT	Continental Resources: Eyewitness; Help for Stolen Laptops, CyberAngel offers password protection and e track down stolen laptops.
AU	Security Administration: Security News; Computer Crime; Source: Computing Canada-July 4 1996.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449 (Modified)

ATTY. DOCKET NO.

SERIAL NO.

FR920000068US1

10/035,724

**LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT**

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(Use several sheets if necessary)

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## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

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	AA						
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	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

BQ	Computer Security Administration: Security News; Notebook Computer Theft at Airports.
BR	Securify Products; ALARMS.
BS	
BT	
BU	

EXAMINER

DATE CONSIDERED

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**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

I hereby certify that the attached correspondence comprising:

Return Postcard  
Information Disclosure Statement and copy  
PTO 1449 Form with references

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents  
Washington, D.C. 20231

on February 13, 2002

Margaret McCabe

*Margaret McCabe*  
(Signature of person mailing paper)